

U.S. Department of Commerce, Patent and Trademark Office						Attorney Docket No.: 1004-3633	
						Application No.: 09/357,726	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s): David L. Wood et al.	
(Use separate sheets if necessary)						Filing Date: July 21, 1999	
						Group Art Unit: 2750	
						Date Submitted: November 10, 1999	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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							Translation
		Document	Date	Country	Class	Subclass	Yes No
	AL						
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
AM	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.					
AM	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.					
AM	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.					
Examiner: <i>Paul M. [Signature]</i>		Date Considered: 3/21/03					
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AM	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.						
AM	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.						
M	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.						
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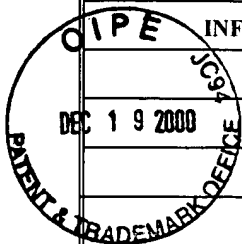
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MM	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.						
MM	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.						
MM	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.						
Examiner <i>Paul Vey</i>			Date Considered <i>3/21/03</i>					
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AM	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.						
AM	AS	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, <i>SecurityDynamics White Paper</i> , Bedford, MA, http://www.securitydynamics.com/products/whitepapers/kerberos.html , 8 pages.						
AM	AT	<u>Mastering Security on the Internet for Competitive Advantage</u> , Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.						
Examiner <i>[Signature]</i>			Date Considered 3/21/03					
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AM	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.						
AM	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.						
AM	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.						
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
AM	AR	"Products Application Server," Art Technology Group Product Overview Developer Workbench, downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.						
AM	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.						
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Examiner <i>Paul WY</i>			Date Considered <i>3/2/07</i>					
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(Use several sheets if necessary)						Filing Date: July 21, 1999	
						Group Art Unit: 2182	
						Date Submitted: December 12, 2000	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AN	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	
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Foreign Patent Documents							
		Document	Date	Country	Class	Subclass	Translation
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AN	AL	96/42041	27 Dec. 1996	WO			
AN	AM	98/25373	11 Jun. 1998	WO			
AN	AN	98/57247	17 Dec. 1998	WO			
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					Application No.: 09/357,726			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s): David L. Wood et al.			
(Use several sheets if necessary)					Filing Date: July 21, 1999			
					Group Art Unit: 2182			
					Date Submitted: March 8, 2001			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AM	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
AM	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.						
AM	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.						
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